

In re Patent Application of:

TRENK et al. 09/760576

Serial No.: 09/760,578

Filed: 1/16/01

For: METHOD AND SYSTEM FOR SECURITIZING OBLIGATION BASED UPON A FUTURE

Our Ref.: P/2167-250

Date: August 21, 2001

Group Art Unit: 2161

Examiner: --

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Assistant Commissioner of Patents  
Washington, D.C. 20231

Technology Center 2100

SUBMISSION

Sir:

<input checked="" type="checkbox"/>	Submitted herewith is a copy of art together with an art listing form listing the same for the convenience of the Examiner.
<input type="checkbox"/>	The document(s) listed on the attached art listing form was/were cited in a Search Report issued by a foreign patent office in a related application. A copy of the Search Report (in the English language) is attached hereto for the Examiner's reference.
<input type="checkbox"/>	The Japanese Publication(s) listed on the attached art listing form was/were cited in a Japanese Office Action issued in a related application. A copy of the Japanese Office Action and an English-language translation of the relevant portions are also attached. <b>SEE ENGLISH TRANSLATION FOR NON-ENGLISH-LANGUAGE DOCUMENTS.</b>
<input type="checkbox"/>	This submission is being made after the issuance of a first Office Action and three months after the application filing date. Thus a Certification Under 37 C.F.R. §1.97(e) is set forth below.
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<input type="checkbox"/>	This submission is being made after the issuance of the first Office Action and without a certification. The fee of \$180.00 under 37 C.F.R. §1.17(p) is attached.
<input type="checkbox"/>	This submission is being made after the issuance of a final rejection or a Notice of Allowance but prior to the payment of the issue fee. Applicant(s) hereby attach the fee of \$180.00 under 37 C.F.R. §1.17(I). A certification under 37 C.F.R. §1.97(e) is set forth above.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL613111165US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-9999, on August 21, 2001:

Dorothy Jenkins

Name of Person Mailing Correspondence \*

*Dorothy Jenkins*  
Signature  
August 21, 2001  
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Respectfully submitted,

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APPLICANT'S ART CITATION (Use several sheets if necessary)		Application 09/760,578	OFGS File No. P/2167-250
		Applicant TRENK et al.	
		Filing Date 1/16/01	RECEIVED Group Art Unit 2161 AUG 24 2001
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Technology Center 2100			
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Examiner		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

